

NJBOS Credit Suisse Settlement
c/o A.B. Data, Ltd.
P.O. Box 173056
Milwaukee, WI 53217
Toll-Free Number: 1-877-254-8530
Settlement Website: www.NJBOSCreditSuisseSettlement.com
Email: info@NJBOSCreditSuisseSettlement.com

CLAIM FORM

MUST BE POSTMARKED NO LATER THAN OCTOBER 6, 2026.

General Instructions

- To apply for a restitution payment in *Ruotolo v. Credit Suisse Securities*, Docket No. MER-C-137-13, you (or your authorized representative) must complete, sign, and submit this Claim Form no later than **OCTOBER 6, 2026**.
- To be able to apply, you must have purchased or otherwise acquired an interest in Credit Suisse RMBS Trust Certificates prior to December 18, 2013, for any of the following: Home Equity Mortgage Trust (“HEMT”) Series 2006-4, 2006-5, 2006-6, 2007-1, and 2007-2; and Home Equity Asset Trust (“HEAT”) Series 2006-4, 2006-5, 2006-6, 2006-7, 2006-8, 2007-1, 2007-2, and 2007-3.
- You can complete and submit an online Claim Form by visiting www.NJBOSCreditSuisseSettlement.com. If you submit your Claim Form online, you must submit it at or before **11:59 p.m. (ET) on October 6, 2026**.
- To submit a Claim Form by mail, complete and sign this Claim Form and mail it to the following address postmarked no later than **October 6, 2026**:

NJBOS Credit Suisse Settlement
c/o A.B. Data, Ltd.
P.O. Box 173056
Milwaukee, WI 53217

- Submitting a Claim Form does not guarantee that you will get a restitution payment.
- If you do not submit a complete Claim Form by **October 6, 2026**, you will not get a restitution payment.
- You must provide all requested information for your Claim Form to be considered complete. If you have any questions, please contact the Claims Administrator at 1-877-254-8530 or info@NJBOSCreditSuisseSettlement.com.
- Submit a separate Claim Form for each separate legal entity or separately managed account (e.g., an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual’s name).
- If you have large numbers of transactions, you may request, or you may be requested, to submit information regarding your transactions in electronic files. Email info@NJBOSCreditSuisseSettlement.com or visit www.NJBOSCreditSuisseSettlement.com to obtain the required electronic filing template. Files that do not comply with the required template and format may be rejected. Your electronic files will not be considered properly submitted unless the Claims Administrator emails you a receipt and confirms in writing that they were accepted. Do not assume that your electronic files were received or processed until you receive a confirmation email from the Claims Administrator. If you do not receive a confirmation email within ten days after you submit your claim, contact the Claims Administrator at info@NJBOSCreditSuisseSettlement.com.

PART 1: CLAIMANT IDENTIFICATION

- Fill out Part 1 with the Claimant Identification information for all RMBS Trust Certificate beneficial owner(s).
 - If you purchased or acquired RMBS Trust Certificates and held those certificates in your name, you are the beneficial owner (holder) and the record owner.
 - If you purchased or acquired RMBS Trust Certificates through a third party, such as a nominee or brokerage firm, and the certificate(s) were registered in the name of the third party, you are the beneficial owner and the third party is the record owner.

This Claim Form must be filed by the beneficial owner(s), or their legal representatives, so their information must be provided in this section.

- Claimant Identification information, such as the beneficial owner's Social Security (or Taxpayer Identification) Number and telephone number may be used to verify the claim. If you do not provide the requested information, your claim may be rejected.

PART 2: SCHEDULE OF RMBS TRUST CERTIFICATE TRANSACTIONS

- Fill out Part 2 to provide all the details about your transactions in RMBS Trust Certificates.
 - Please list each transaction separately and in chronological order, by trade date, beginning with the earliest.
 - You must provide information about your transactions including any purchases, acquisitions, sales, and/or dispositions; any profits or losses; and the month, day, and year of each transaction. If you do not provide the requested transaction information, your claim may be delayed or rejected.
 - If you need more space or additional schedules, attach separate sheets with all the required information in substantially the same form. Sign and print or type your name on each additional sheet.
 - Attach copies of broker confirmations or other documents that support your listed transactions in RMBS Trust Certificates. If you do not provide supporting documents, your claim may be delayed or rejected.

PART 3: SIGN CLAIM FORM

- Sign the Claim Form.
 - All (joint) beneficial owners must sign the Claim Form.
 - Legal representatives, such as executors, administrators, guardians, conservators, and trustees, must complete and sign this Claim Form on behalf of persons and/or entities represented by them. All legal representatives must state their title and capacities and attach documents proving their legal authority to complete the claim.

SUBMIT YOUR CLAIM FORM BY OCTOBER 6, 2026.

- Submit your completed Claim Form online or by mail postmarked by **October 6, 2026**.

The Claims Administrator may request additional information to process your Claim Form.

PART I – CLAIMANT INFORMATION

Complete this section.

Beneficial Owner’s Name (First, Middle, Last)

Co-Beneficial Owner’s Name (First, Middle, Last) (if applicable)

Entity Name (if Beneficial Owner is not an individual)

Representative or Custodian Name (if different from Beneficial Owner(s) listed above)

Address 1 (street name and number)

Address 2 (apartment, unit, or box number)

City State Zip Code

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Foreign Province, Postal Code, and Country (only if not United States)

Social Security Number or Taxpayer Identification Number

Telephone Number (home) Telephone Number (work)

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Email address (optional)

Account Number (if filing for multiple accounts, file a separate Claim Form for each account)

Claimant Account Type (check appropriate box):

- Individual (includes joint owner accounts)
 Pension Plan
 Trust
 Corporation
 Estate
 IRA/401K
 Other _____ (please specify)

PART II – SCHEDULE OF TRANSACTIONS IN RMBS TRUST CERTIFICATES

1. Complete this section about the transactions you had in the Credit Suisse RMBS Trust Certificates you purchased or otherwise acquired prior to December 18, 2013, for any of the following: Home Equity Mortgage Trust (“HEMT”) Series 2006-4, 2006-5, 2006-6, 2007-1, and 2007-2, and Home Equity Asset Trust (“HEAT”) Series 2006-4, 2006-5, 2006-6, 2006-7, 2006-8, 2007-1, 2007-2, and 2007-3. For a detailed list of included RMBS Trust Certificates, visit www.NJBOSCreditSuisseSettlement.com.

A. PURCHASES/ACQUISITIONS

List all purchases and/or acquisitions of included RMBS Trust Certificates you made.

Trade Date (List Chronologically) (Month/Day/Year)	CUSIP	Face Value	Price (excluding interest, commissions, taxes, and fees)	Total Cost (excluding interest, commissions, taxes, and fees)
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/ /				
/ /				
/ /				

B. DISTRIBUTIONS

List all principal distributions of included RMBS Trust Certificates that you received prior to December 18, 2013.

Trade Date (List Chronologically) (Month/Day/Year)	CUSIP	Face Value	Price (excluding interest, commissions, taxes, and fees)	Total Proceeds (excluding interest, commissions, taxes, and fees)
/ /				
/ /				
/ /				
/ /				

C. SALES/DISPOSITIONS

List all sales and/or dispositions of included RMBS Trust Certificates you made prior to December 18, 2013.

Trade Date (List Chronologically) (Month/Day/Year)	CUSIP	Face Value	Price (excluding interest, commissions, taxes, and fees)	Total Proceeds (excluding interest, commissions, taxes, and fees)
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/ /				
/ /				
/ /				

D. CERTIFICATES HELD

List all included RMBS Trust Certificates you held as of December 18, 2013.

CUSIP	Face Value

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST
PHOTOCOPY THIS PAGE AND CHECK THIS BOX.
IF YOU DO NOT CHECK THIS BOX, YOUR ADDITIONAL PAGES WILL NOT BE REVIEWED.**

E. ATTACH REQUIRED DOCUMENTS

Attach copies of broker confirmations or other documents that support your listed transactions in RMBS Trust Certificates. DO NOT SEND ORIGINAL DOCUMENTS.

Supporting documents may include: (a) brokerage or trade confirmation slips, (b) monthly brokerage account statements, (c) an authorized statement from your broker containing the transactional and holding information, (d) a signed letter from your broker on firm letterhead verifying the information you are providing, or (e) other equivalent proof of your transactions.

All documentation must be legible and include all information necessary to support the information on your Claim Form. If the name appears different on the supporting documentation, you must provide proof of name/bank account change.

If you do not provide supporting documents, your claim may be delayed or rejected.

Please keep a copy of all documents that you send to the Claims Administrator. Also, please do not highlight any portion of the Claim Form or any supporting documents.

PART III – SIGNATURE AND CERTIFICATIONS

I (We) declare UNDER PENALTY OF PERJURY, under the laws of the United States of America, that:

1. I (We) have read my (our) Claim Form, including any attachments and supporting documents, and verify that they are true and correct;
2. I (We) am (are) eligible to apply for a restitution payment and am (are) not excluded (as defined in the Plan of Allocation);
3. I (We) understand that the deadline to apply for a restitution payment is October 6, 2026, and if we do not submit our completed Claim Form and supporting documents by that deadline, our application may be rejected;
4. I (We) agree to provide additional information about this Claim Form if required by the Claims Administrator in order to receive a restitution payment;
5. I (We) have not authorized, nor am I (are We) aware of, anyone else who has filed a Claim Form on my (our) behalf for the same Securities covered in this Claim Form; and
6. All notices should be sent to me (us) at the information I (we) provided in this Claim Form, and I (we) will notify the Claims Administrator, in writing, if any of my (our) contact information changes.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Signature of Claimant

Date

Print Name of Claimant

Signature of Joint Claimant, if any

Date

Print name of Joint Claimant

If the Claimant is other than an individual, or is not the person completing this form, provide the following:

Signature of person signing on behalf of Claimant

Date

Print the Name of the person signing on behalf of Claimant

Capacity of person signing on behalf of Claimant, if other than an individual (e.g., administrator, executor, president, trustee, power of attorney, custodian, etc.) (You must provide evidence of your authority to act on behalf of the Claimant.)

REMINDER CHECKLIST:

1. **Sign the Claim Form.** Make sure your Claim Form is complete and signed.
2. **Attach Supporting Documents.** Attach **copies** of all required supporting documents. **DO NOT** provide originals of brokerage statements or other documents. They will not be returned.
3. **Do not highlight** any part of the Claim Form or your supporting documents.
4. **Keep copies.** Keep a copy of the Claim Form and supporting documents for your own records.
5. **Submit your Claim Form by October 6, 2026.** This Claim Form and your supporting documents must be submitted online at www.NJBOSCreditSuisseSettlement.com at or before **11:59 p.m. (ET) on October 6, 2026**, or mailed so it is postmarked no later than **October 6, 2026**, to:

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c/o A.B. Data, Ltd.
P.O. Box 173056
Milwaukee, WI 53217

If you want confirmation that your Claim Form was received, send your Claim Form certified mail, return receipt requested.

6. **Notice of Address or Name Changes.** Notify the Claims Administrator if your name, address, or any of your contact information changes in the future.
7. **Additional Questions?**

Call: 1-877-254-8530

Visit: www.NJBOSCreditSuisseSettlement.com

Email: info@NJBOSCreditSuisseSettlement.com

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